

Reachability in Infinite-Dimensional Unital Open Quantum Systems with Switchable GKS-Lindblad Generators

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Joint work with Gunther Dirr (University of Würzburg),
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Motivation

Open dynamical system:

$$\dot{\rho}(t) = -i[H(t), \rho(t)] - \Gamma(\rho(t))$$

with $H(t)$ self-adjoint and $\Gamma = \sum_k \frac{1}{2}(V_k^\dagger V_k(\cdot) + (\cdot)V_k^\dagger V_k) - V_k(\cdot)V_k^\dagger$ of Kossakowski-Lindblad form

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→ characterize solutions given $\rho(0) = \rho_0 \in \mathbb{D}(\mathcal{H})$ ¹

¹ $\mathbb{D}(\mathcal{H})$, “quantum states”: all $\rho : \mathcal{H} \rightarrow \mathcal{H}$ linear, $\rho \geq 0$, (trace class and) trace 1

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reachable set:

$$\text{reach}_{\Sigma}(\rho_0) := \mathcal{S}_{\Sigma} \rho_0$$

if all operators are bounded $= \{ \rho(t) \mid \rho(\cdot) \text{ is a solution of (1), } t \geq 0 \}$

where $\mathcal{S}_{\Sigma}$: semigroup generated by

$$\left(e^{-t(i \text{ad}_{H_0} + i \sum_{j=1}^m u_j \text{ad}_{H_j} + u_0 \Gamma_V)} \right)_{t \in \mathbb{R}_+} \quad \text{with } u_1, \dots, u_m \in \mathbb{R}, u_0 \in \{0, 1\}.$$

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Closed system Σ_0 (i.e. $\gamma(t) = 0$):

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System is operator controllable if $\text{reach}(\text{id}) = \mathcal{U}(\mathcal{H})$ because then

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In finite dim. equivalent to the “Lie algebra rank condition”:

$$\langle iH_0, iH_j \mid j = 1, \dots, m \rangle_{\text{Lie}} = \mathfrak{u}(\mathcal{H})$$

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Theorem (Reachability for normal noise)

Given $V \in \mathbb{C}^{n \times n}$, ($\forall \lambda \in \mathbb{C} \ V \neq \lambda \text{id}$) normal and the control system Σ_V

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Majorization: $A, B \in \mathbb{C}^{n \times n}$ hermitian with eigenval. $\lambda_1(A) \geq \lambda_2(A) \geq \dots$ (similarly for B), B is said to majorize A (denoted $A \prec B$) if

$$\sum_{j=1}^k \lambda_j(A) \leq \sum_{j=1}^k \lambda_j(B)$$

for all $k = 1, \dots, n-1$ and $\sum_{j=1}^n \lambda_j(A) = \sum_{j=1}^n \lambda_j(B)$.

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- majorization $\rightarrow$ *defined analogously on $\mathbb{D}(\mathcal{H})$ (because eigenvalues form a non-negative null sequence)*

Operator Controllability [1]



[1]: M. Keyl: "Quantum control in infinite dimensions and Banach-Lie algebras: Pure point spectrum" (2018), arXiv:1812.09211

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Problem: Neither exact nor (norm) approximate operator controllability is possible because $\text{reach}(\text{id})$ is norm-separable, but $\mathcal{U}(\mathcal{H})$ is not (Ball, Marsden, Slemrod 1982)



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Definition

The unitary system $\dot{U}(t) = -iH(t)U(t)$, $U(0) = \text{id}$ is called strongly approximately operator controllable if the strong closure of $\text{reach}(\text{id})$ (in $\mathcal{U}(\mathcal{H})$) coincides with $\mathcal{U}(\mathcal{H})$

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^aStrong topology: $(A_n)_n \in \mathcal{B}(\mathcal{H})$ converges strongly to $A \in \mathcal{B}(\mathcal{H})$ if for all $\psi \in \mathcal{H}$: $\lim_{n \rightarrow \infty} A_n \psi = A \psi$ in $\mathcal{H}$



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$$\|U\rho U^\dagger - \tilde{U}\rho\tilde{U}^\dagger\|_1 < \varepsilon$$

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and thus (for all $\rho_0 \in \mathbb{D}(\mathcal{H})$)

$$\overline{\text{reach}(\rho_0)}^1 = \{U\rho_0 U^\dagger \mid U \in \mathcal{U}(\mathcal{H})\}.$$

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Theorem (Reachability for normal noise)

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- (iii) For **non-increasing, non-negative sequences** $x, y \in \ell_1(\mathbb{N})$ one has $x \prec y$ if and only if $\exists U \in \mathcal{U}(\mathcal{H})$ s.t. $U \operatorname{diag}(y) U^\dagger$ has diag. entries x
 $\rightarrow$ Gohberg [2]



[2]: I. Gohberg and A. Markus, "Some relations between eigenvalues and matrix elements of linear operators", Amer. Math. Soc. Transl. Ser. 2 52 (1966)

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$$\rho_0 \xrightarrow{\text{unitary}} W \text{diag}(y) W^\dagger \quad \text{diag}(x) \xrightarrow{\text{unitary}} \rho$$

Rough Proof Idea

“ $\text{reach} \subseteq \prec$ ”: Generated semigroup is unital (because $\text{id} \in \ker(\text{generator})$)
 $\rightarrow$ characterizes majorization

“ $\text{reach} \supseteq \prec$ ”: Let $\rho_0 = U_0 \text{diag}(y) U_0^\dagger$, $\rho = U \text{diag}(x) U^\dagger$ with $\rho \prec \rho_0$
 (i.e. $x \prec y$). Then $\exists W \in \mathcal{U}(\mathcal{H})$: $W \text{diag}(y) W^\dagger$ has diag x :

$$\rho_0 \xrightarrow{\text{unitary}} W \text{diag}(y) W^\dagger \xrightarrow{\text{noise}} \text{diag}(x) \xrightarrow{\text{unitary}} \rho$$

Approximations: (a) noise sufficiently long, (b) on a finite block (if some eigenvalues coincide) (c) after possibly reordering entries of x, y

Summary

We showed: Given the Markovian control system Σ_V

$$\dot{\rho}(t) = -i \left[H_0 + \sum_{j=1}^m u_j(t) H_j, \rho \right] - \gamma(t) \left(\frac{1}{2} (V^\dagger V \rho + \rho V^\dagger V) - V \rho V^\dagger \right), \text{ where}$$

- (1) H_0 self-adjoint, controls H_j self-adjoint and bounded,
- (2) the closed part: strongly (approximately) operator controllable,
- (3) $V (\neq 0)$ compact, normal and switchable by $\gamma(t) \in \{0, 1\}$.

Then for all $\rho(0) = \rho_0 \in \mathbb{D}(\mathcal{H})$: $\overline{\text{reach}_{\Sigma_V}(\rho_0)}^1 = \{\rho \in \mathbb{D}(\mathcal{H}) \mid \rho \prec \rho_0\}$.

Open questions:

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- (1) what if V bounded & normal?
- (2) introducing more unbounded operators ($\rightarrow$ common dense domain)
- (3) more than one $V \in \mathcal{K}(\mathcal{H}) \setminus \{0\}$ normal (non-commuting!)