

# Exploration of a collection of documents in neuroscience and extraction of topics by clustering

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## Abstract

This paper presents an exploratory analysis of the neuroscience knowledge domain, and an application of cluster analysis to identify topics in neuroscience. A collection of posters abstracts from the Society for Neuroscience (SfN) Annual Meeting in 2006 is first explored by viewing existing topics and poster sessions using the 3D-SE viewer interactive tool and multidimensional scaling. In a second part, topics are determined by clustering the abstracts and selecting in each cluster the 10 terms with highest Document Frequency or Log-Entropy scores. Extracted topics are evaluated by comparison to the titles of thematic categories defined by human experts. Several Term spaces in the Vector Space Model were built on the basis of (a) a set of terms extracted from poster abstracts and titles, (b) a set of free keywords assigned to the posters by their authors. The ensuing Term Spaces are compared from the point of view of retrieving the genuine categories titles.

*Key words:* neuroinformatics, bipartite graph, document clustering, text mining, knowledge domain visualization

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## 1. Introduction

The rapid growth of the amount of published documents like research papers, computer programs, analyzed data or related references gathered in databases or repositories lead to an urgent need for tools facilitating quick access to literature from a given field of research. In order to face this growing demand, an important purpose of neuroinformatics is the development of visualization tools for databases in the field of neuroscience (Usui, 2007). Another useful approach is the automatic creation of indexing structures enabling the organization of documents hierarchically. These structures may help the user in his search for information, as well as they fasten the retrieval of relevant documents and provide ways to overview a corpus that can help navigation. In databases dedicated to a broad

field of research such as neuroscience, it is necessary to build a structure of keywords reflecting the semantic contents of the documents. For this purpose, we propose to detect the general structure of a collection of documents through a clustering of the documents into groups covering similar topics. This work is devoted to the analysis of a collection of posters presented at the Annual Meeting of the Society for Neuroscience (SfN) in 2006. SfN is, with more than 37,500 members, the world's largest organization of scientists devoted to the study of neuroscience and the brain science. Its Annual Meeting is the largest event in neuroscience. This study focuses on the automatic extraction of topics covered by posters based on clustering. The topics are featured using (a) the most frequent terms extracted from poster abstracts and titles, and (b) the keywords assigned to posters by their authors. A comparison of the capability of the ensuing Term

37 Spaces to retrieve the genuine categories defined by  
38 human experts is investigated. A possible practical  
39 application of this work is the automatic grouping  
40 of posters or other presentations into sessions for  
41 future SfN Annual Meetings.

## 42 2. Exploratory analysis of original categories

43 Four types of categories are provided by the orga-  
44 nizers of the Meeting, namely the *theme*, *subtheme*,  
45 *topic* and *session* types that are used to build a tree  
46 structure with research subjects. The *theme*-type  
47 categories (called hereafter simply *themes*) are the  
48 most general ones and placed on top of this hierar-  
49 chy. Each *theme* is subdivided into a number of *sub-*  
50 *themes*, and similarly, each *subtheme* is subdivided  
51 into different *topics*. An excerpt of the list of cate-  
52 gory titles structured in 3 levels is presented in Ta-  
53 ble 1. Among all the 12856 posters existing on the  
54 CD, we selected the 12844 posters for which both  
55 an abstract and a title were given. Each retained  
56 poster (called hereafter *document*) is assigned by a  
57 committee member of SfN Annual Meeting to one  
58 poster session and is featured by a topic, a subtheme  
59 and a theme. On the basis of these assignments of  
60 the posters, we determined for each category of type  
61 subtheme, topic and session the *dominant theme* by  
62 looking at the theme of all the posters in a category  
63 and checking which theme has the largest number  
64 of posters. The dominant themes are used to color  
65 the category markers on the displays. From the as-  
66 signments of the 12844 posters, lists of 7 themes, 71  
67 subthemes, 415 topics and 650 sessions were built.  
68 We are primarily interested in the visualization of  
69 the above categories in order to provide an overview  
70 of the field and check whether the ensuing group-  
71 ings of posters into categories are homogeneous and  
72 naturally cluster in the Term Spaces defined in the  
73 following section 2.1. Two visualization techniques  
74 were used: 3D-SE viewer and multidimensional scal-  
75 ing, so that the particular advantages of each ap-  
76 proach could be exploited.

### 77 2.1. The construction of Term Spaces

78 The *Vector Space Model* (Salton et al., 1975) is  
79 the most widely used approach in Natural Language  
80 Processing. In this model, a set of terms  $\mathcal{T}$  is first  
81 built by extracting all words occurring in a collec-  
82 tion of documents  $\mathcal{D}$ , followed by stop words re-  
83 moval and stemming steps (Porter, 1980). The num-

84 ber of occurrences of each term in each document  
85 (usually called *frequency*) is counted and denoted  
86  $f_{ij}$ . Then a frequency matrix  $\mathbf{F}$  is built with the  
87  $\{f_{ij}\}$  in entries, as a [*terms*  $\times$  *documents*] matrix  
88 or as a [*documents*  $\times$  *terms*] matrix, where each  
89 document is a row vector in the space of all terms  
90 occurring in documents. This space of all terms is  
91 called *Term Space* in the present paper. Depending  
92 on the size of the Term Space, terms occurring too  
93 often or very seldom in documents can be discarded.  
94 When the number of documents  $N$  in the collection  
95 is in the range of a few thousands, the number of  
96 extracted terms  $M$  is often in the range of tens of  
97 thousands, leading to very high dimensional Term  
98 Spaces. In order to reduce the Term Space dimen-  
99 sionality, it is necessary to remove less semantically  
100 significant terms by keeping only a subset of the ex-  
101 tracted terms, which was done using a ranking of  
102 the terms according to their Document Frequency  
103 scores (denoted  $DF$  hereafter). In general, we are  
104 interested in selecting the terms that best represent  
105 the semantic content of the documents. This intu-  
106 itive feature is however very difficult to catch only by  
107 means of statistics. Two different sources of informa-  
108 tion from which words were extracted to build the  
109 Term Spaces are presented here below. Generated  
110 Term Spaces, identified hereafter by their dimension  
111  $M$ , and the basic features of the corresponding data  
112 matrices are summarized in Table 2.

#### 113 2.1.1. Terms extracted from the posters' abstracts 114 and titles

115 The posters abstracts and titles were extracted  
116 from a CD-ROM distributed to all the participants  
117 of the Annual Meeting. Terms originating from ti-  
118 tle were given equal weight to terms extracted from  
119 the abstracts, although higher weighting for title  
120 terms is sometimes used (e.g. frequencies of title  
121 terms can be doubled to reflect the higher semantic  
122 importance of titles). Using the same preprocessing  
123 scheme and extraction of candidate terms as in Usui  
124 et al. (2007), a number  $M = 40767$  of terms were ex-  
125 tracted directly from the abstracts and titles of the  
126  $N = 12844$  posters. The number of terms in each  
127 document varies from 61 to 456, with an average of  
128 278.86 terms per document. This space is much too  
129 large to allow further processing. A smaller Term  
130 Space was built by selecting terms occurring in at  
131 least 45 documents ( $DF \geq 45$ ), in order to reduce  
132 the Term Space size to  $M = 3006$  terms. For the  
133 sake of simplicity, only unigrams (single words) were

Table 1

The hierarchical structure of research areas in neuroscience is reflected by the categories' titles (selected categories: all themes, subthemes in theme A and topics in subtheme A1). Each category is identified by a short label (e.g. A or A1) and a full title (e.g. Development or Neurogenesis and Gliogenesis).

| <i>Themes and Subthemes of theme A</i>                          | <i>Topics in subtheme A1</i>                                 |
|---|--|
| A. Development  |  |
| A1. Neurogenesis and Gliogenesis                                | A1a. Neural induction and patterning                         |
| A2. Axonal and Dendritic Development                            | A1b. Neural stem cells: Basic biology                        |
| A3. Synaptogenesis and Activity-Dependent Development           | A1c. Neural stem cells: Clinical applications                |
| A4. Developmental Cell Death                                    | A1d. Neural stem cells: Neurogenesis after birth             |
| A5. Development of Motor Systems                                | A1e. Proliferation   |
| A6. Development of Sensory and Limbic Systems                   | A1f. Cell migration  |
| A7. Transplantation and Regeneration                            | A1g. Cell lineage and cell fate specification                |
| A8. Evolution of Development                                    | A1h. Neuronal differentiation: Autonomic and sensory neurons |
| B. Neural Excitability, Synapses, and Glia: Cellular Mechanisms | A1i. Neuronal differentiation: Central neurons               |
| C. Sensory and Motor Systems                                    | A1j. Glial differentiation                                   |
| D. Homeostatic and Neuroendocrine Systems                       | A1k. Neuron glia interactions                                |
| E. Cognition and Behavior                                       |  |
| F. Disorders of the Nervous System                              |  |
| G. Techniques in Neuroscience                                   |  |
| H. History and Teaching of Neuroscience                         |  |

134 considered as terms in this study.

### 135 2.1.2. *Free keywords provided by the posters authors*

136 Free keywords were also extracted from the Annual Meeting's CD where 5 separate XML tags are  
 137 given. A total of 12695 posters were assigned from 1  
 138 to 5 such keywords, with an average of 4.26 keywords  
 139 per poster. After basic data cleaning (correction of  
 140 misspelling and other typos in keywords) and simple  
 141 stemming (elimination of plurals), a set of 10022  
 142 keywords was established. This excessively high di-  
 143 mensionality of the Term Space was reduced to the  
 144  $M = 3560$  keywords assigned to two or more posters  
 145 ( $DF \geq 2$ ).  
 146

### 147 2.2. *Visualization of categories by 3D-SE viewer*

148 The 3D-SE viewer<sup>1</sup> visualization tool is based  
 149 on Spherical Embedding (Saito et al., 2004), an  
 150 algorithm designed for the visualization of bipar-  
 151 tite graphs. In order to build an interactive tool  
 152 usable on web pages, the 3D-SE viewer has been  
 153 implemented as a Java applet (Usui, 2007), which  
 154 has been successfully applied to the visualization of  
 155 documents and concepts (Naud et al., 2007a). The  
 156 sparse term frequency matrix  $\mathbf{F}$  may be conveniently  
 157 viewed as a bipartite graph  $G = \{V_A \cup V_B, E\}$  in  
 158 which the sets of vertices  $V_A$  and  $V_B$  contain e.g.  
 159 terms and documents, and the set of edges  $E$  is  
 160 build from the occurrences of terms in documents.  
 161 The visualized items are represented on two con-  
 162 centric spheres embedded in a 3-D Euclidean space,  
 163 for instance terms are mapped on the inner sphere

164 and documents on the outer sphere. This interac-  
 165 tive tool allows the user to modify the viewpoint by  
 166 rotating the spheres around their center, zooming in  
 167 or out, or centering the view on selected nodes, and  
 168 allows to hyperlink the nodes to other web pages.  
 169 The lists of visualized items are displayed in panels  
 170 on both sides of the central view. 3D-SE viewer was  
 171 used to visualize some of the genuine categories,  
 172 namely topics and sessions as sums of their re-  
 173 spective documents, providing an general overview  
 174 of neuroscience on the outer sphere and access to  
 175 terms or keywords on the inner sphere. Figure 1  
 176 presents an overview of the 415 topics in the space  
 177 of 3006 terms extracted from abstracts. Groupings  
 178 of topics according to the main themes are clearly  
 179 visible. Figure 2 presents a view of the 650 poster  
 180 sessions in the space of 3560 free keywords, with a  
 181 focus on the *Neuroinformatics* poster session.

### 182 2.3. *Visualization of categories by multidimensional scaling*

183  
 184 Multidimensional scaling (MDS) (Borg and  
 185 Groenen, 2005) is a classical family of techniques  
 186 used for the visualization of multidimensional data.  
 187 Least-squares MDS is based on the minimization of  
 188 a Stress function involving the differences between  
 189 Euclidean distances in the high dimensional space  
 190 and the target 2-D or 3-D space. MDS is preferred  
 191 here to a PCA-based dimensionality reduction be-  
 192 cause the feature matrix  $\mathbf{F}$  is too large to allow its  
 193 direct decomposition by the classical (non-sparse)  
 194 versions of PCA. The previously defined Term  
 195 Spaces being still very high-dimensional (with sev-  
 196 eral thousands of dimensions) and data being very  
 197 sparse, a direct application of MDS is not possible

<sup>1</sup> 3D-SE viewer ©BSI NI lab. and NTT-CS.

Table 2

Term Spaces built for the representation of posters.  $nnz$  is the number of non-zero elements in matrix  $\mathbf{F}$ ,  $S$  is the sparseness of  $\mathbf{F}$  defined as  $S = 1 - nnz/(M \cdot N)$ . Term frequency matrices are usually very sparse, typically  $S = 99\%$ , the extracted data are even more sparse than this in the free keywords case.

| #  | source of terms    | selection    | # documents<br>$N$ | # terms<br>$M$ | $nnz$   | sparseness<br>$S$ (%) |
|----|--------------------|--------------|--------------------|----------------|---------|-----------------------|
| 1. | abstract and title | no selection | 12844              | 40767          | 1008321 | 99.81                 |
| 2. | abstract and title | $DF \geq 45$ | 12844              | 3006           | 857839  | 97.78                 |
| 3. | free keywords      | no selection | 12695              | 10022          | 54376   | 99.96                 |
| 4. | free keywords      | $DF \geq 2$  | 12695              | 3560           | 47914   | 99.89                 |

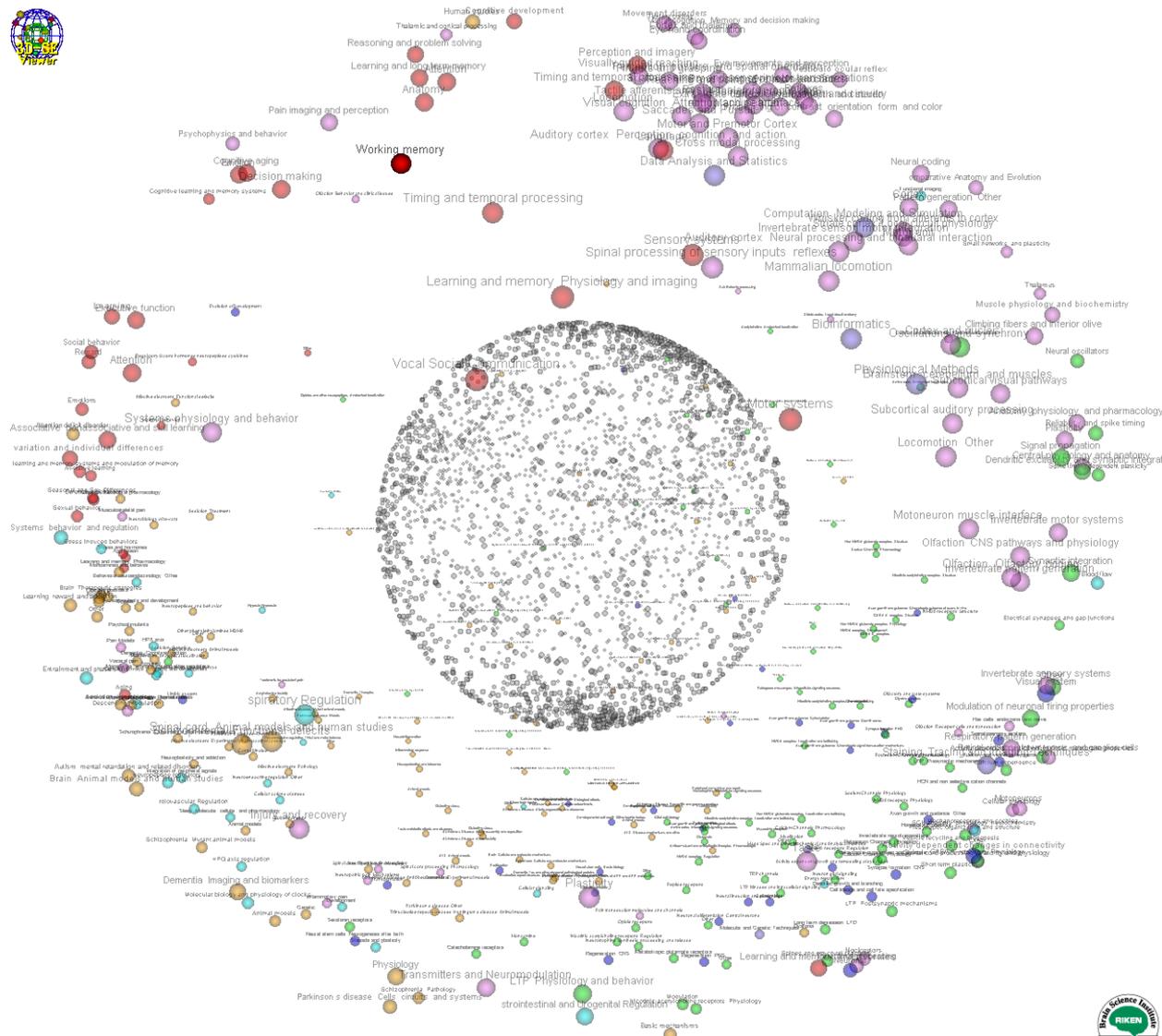


Fig. 1. 3D-SE viewer: an overview of the 415 topics in the space of 3006 terms extracted from abstracts. The 7 main themes are displayed in distinct areas.

198 due to the curse of dimensionality causing dis- 203  
 199 tances to become meaningless. In order to reduce  
 200 this effect, a similarity matrix based on average co- 204  
 201 sine measures between categories is first computed, 205  
 202 this matrix is then transformed into a dissimilarity 206

matrix and used as input to the MDS algorithm.

### 2.3.1. Average cosine measures between categories

The frequency matrix  $\mathbf{F}$  is a sparse contingency table where each row represents one document, and



243 terms' *cohesion* and *separation*, some internal mea- 275  
 244 sures of clusters validity presented e.g. in Tan et al. 276  
 (2006).

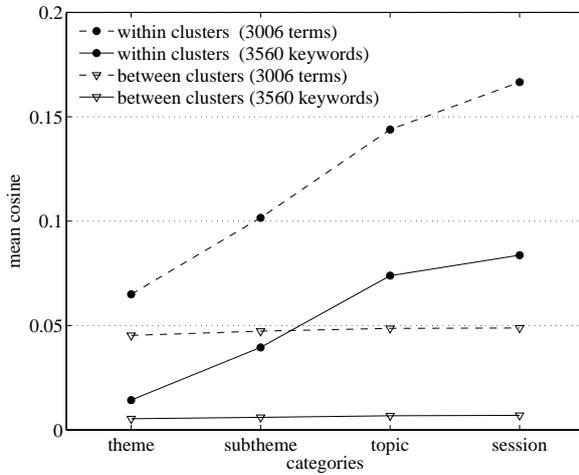


Fig. 3. Mean cosines among documents in the original categories in the 3006 and 3560 Term Spaces.

245

### 2.3.2. Proposed scheme for the visualization of categories

248 As illustrated in Section 2.3.1, the different average  
 249 cosines between and within categories are larger  
 250 for *topic* and *session* categories, indicating that  
 251 these categories are better separated in our Terms  
 252 Spaces. This can be confirm by visualizing the dif-  
 253 ferent categories. To this purpose, we processed the  
 254 data as follows:

- 255 (i) Build a similarity matrix  $C$  with mean cosines  
 256 between categories as entry and mean cosines  
 257 within categories on its diagonal,
- 258 (ii) Compute a dissimilarity matrix  $D = -\log(C)$ ,  
 259 in order to obtain distance-like measures in-  
 260 stead of similarities,
- 261 (iii) Map the categories into a 2-D or 3-D space  
 262 using MDS using the dissimilarity matrix  $D$   
 263 as input distances,
- 264 (iv) Plot the 2-dimensional layout of categories,  
 265 marked according to the dominant theme.

266 Figure 4 (and Figure 5) presents the layout of 2 types  
 267 of the 71 *subthemes* (and respectively 650 *sessions*)  
 268 resulting from least squares MDS mapping. We ob-  
 269 serve that the items of these 2 types of categories  
 270 are mapped in good agreement with the *theme* cat-  
 271 egories because their marks are grouped according  
 272 to their *theme* color. The almost uniform distribu-  
 273 tion of nodes in the target space is also remarkable  
 274 and suggests a good separation in the input high di-

275 mensional space, although no clear demarcation is  
 276 visible between the areas occupied by the different  
 themes.

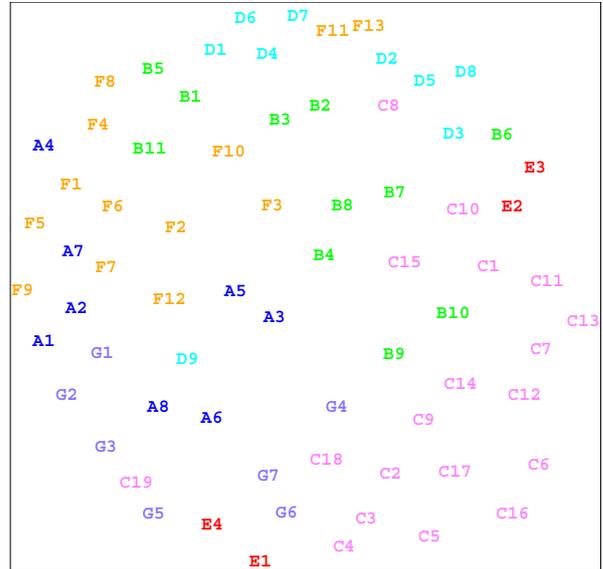


Fig. 4. MDS visualization: 2D layouts of 71 *subtheme* categories in the 3006 Term Space. Each *subtheme* is marked using its short label colored according to its dominant theme.

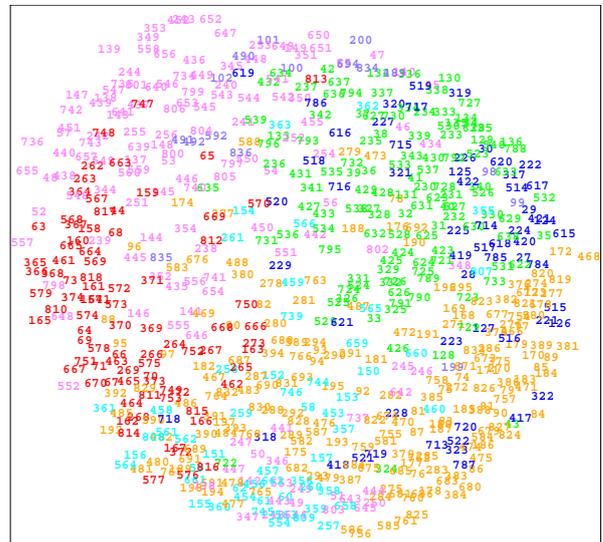


Fig. 5. MDS visualization: 2D layouts of 650 *session* categories in the 3006 Term Space. Each *session* is marked using its identification number colored according to its dominant theme.

278 **3. Identification of topics by document**  
279 **clustering**

280 3.1. *Recent trends in document clustering*

281 Document clustering has drawn the interested  
282 of researchers in Natural Language Processing for  
283 more than two decades. Some recent trends in this  
284 area are briefly outlined in this section. Document  
285 clustering is a task that has received much attention  
286 in recent years due to the rapid growth of documents  
287 available on the Web. The newly developed cluster-  
288 ing techniques exploit naturally the graph formed  
289 by hyperlinks connecting documents to each other.

290 Another recent active area of research is clustering  
291 of documents enriched with ontologies (Yoo et al.,  
292 2006), in which similarities between documents in-  
293 corporate inter-concepts semantic relationships in  
294 a given knowledge domain captured by the appro-  
295 priate ontology. Both hierarchical/agglomerative  
296 clustering (Zhao et al., 2005) and partitional clus-  
297 tering (mainly based on k-means) (Dhillon et al.,  
298 2000) have been successfully applied to this task.  
299 Co-clustering refers to a more recent approach in  
300 which both words and documents are clustered at  
301 the same time (Dhillon, 2001). The clusters may  
302 be disjoint as in information-theoretic co-clustering  
303 (Dhillon et al., 2003), or overlapping using prob-  
304 abilistic modeling as proposed in (Banerjee et al.,  
305 2005). Non-negative Matrix Factorization (NMF) is  
306 another successful approach in document clustering,  
307 being based on a decomposition of the frequency  
308 matrix into a product of two non-negative matrices  
309 (Xu et al., 2003).

310 3.2. *Proposed approach for topic identification*

311 It is assumed that documents belonging to a given  
312 subset of documents (cluster or category) refer to a  
313 common topic. The topics of the existing categories  
314 are naturally best described by the titles their are  
315 given, and our aim is to check to what extend it is  
316 possible to retrieve these titles. The topic(s) covered  
317 by a cluster of documents can be identified by a list  
318 of the most meaningful terms occurring in these doc-  
319 uments. To this purpose, these terms were ranked  
320 according to a specific score and the top 10 terms  
321 were retained to describe the topic. Several ranking  
322 schemes for selecting terms have been tested in Naud  
323 et al. (2007b). The two best performing rankings  
324 were applied in this study, namely Document Fre-

325 quency ( $DF$ , the same as used to reduce the Term  
326 Space dimensions in Section 2.1) and Log-Entropy  
327 (denoted hereafter  $LE$ ). They are defined for each  
328 term  $t_j, j = 1, \dots, M$  as follows:

$$DF(t_j) = \sum_{i=1}^N \chi(f_{ij}),$$

with  $\chi(t) = 1$  if  $t > 0$  and  $\chi(0) = 0$

$$LE(t_j) = \sum_{i=1}^N \log(1 + f_{ij}) \cdot \left(1 + \sum_{i=1}^N \frac{p_{ij} \log p_{ij}}{\log N}\right), \quad (2)$$

with  $p_{ij} = f_{ij} / \sum_{i=1}^N f_{ij}$

330 For each type of category, the top 10 terms were se-  
331 lected using these 2 rankings, in the 4 Term Spaces  
332 defined in section 2.1. The numbers of terms (among  
333 the top 10 ranked or among all the terms) exactly  
334 matching after stemming one term of the category  
335 title were counted, they are presented in Table 3.  
336 We get naturally the best results when taking all  
337 the terms in the Term Space ( $NO$  column), and  $LE$   
338 ranking performs always better than  $DF$ . Another  
339 result is that there is no dramatic decrease of perfor-  
340 mance when the Term Space size is decreased by a  
341 factor of order of 10 (40767/3006), which means that  
342 the  $DF$ -based strategy for building the terms space  
343 is sensible. In the 40767 Term Space, the 6.68% of  
344 unretrieved title words is mostly due to misspelled  
345 words in the abstracts. The performance is lower for  
346 the two Term Spaces based on keywords, this result  
347 is due to the fact that free keywords are often very  
348 specialized terms, and hence not suitable for being  
349 part of a category title.

350 3.3. *Identification of topics in the original categories*

Table 4 presents a list of 10 session titles for which  
all the words were among the top 10  $LE$ -ranked  
terms in the 3006 Term Space. Boldface terms  
matched one title word after stop word removal  
and stemming. Title words like *and*, *other*, *neural*  
or *Roman Numbers* are in the stop list. These titles  
were entirely retrieved, as 90 other session titles out  
of the 650 sessions.

In order to illustrate the kind of difficulties arising  
in the keywords Term Spaces, a list of 15 *subtheme*  
category titles together with the top 10  $LE$ -ranked  
keywords selected from the 10022 Term Space is  
shown in Table 5. Titles like *Data Analysis and*  
*Statistics* difficult to retrieve because they involve

Table 3

Numbers of retrieved terms of the categories titles among the terms from the original categories documents in different Term Spaces. The top 10 terms using *DF* and *LE* rankings or without ranking (among all 3006 terms) are compared. The percentages in parenthesis are calculated wrt the numbers of title terms in the fourth column.

| <i>M</i> | Category titles |          |         | Term ranking |         | All terms |         |
|----------|-----------------|----------|---------|--------------|---------|-----------|---------|
|          | name            | (# cat.) | # terms | <i>DF</i>    | (%)     | <i>LE</i> | (%)     |
| 40767    | <i>theme</i>    | (7)      | 16      | 3            | (18.75) | 2         | (12.50) |
|          | <i>subtheme</i> | (71)     | 168     | 75           | (44.64) | 75        | (44.64) |
|          | <i>topic</i>    | (415)    | 1111    | 523          | (47.07) | 522       | (46.98) |
|          | <i>session</i>  | (650)    | 2191    | 984          | (44.91) | 998       | (45.55) |
| 3006     | <i>theme</i>    | (7)      | 16      | 3            | (18.75) | 2         | (12.50) |
|          | <i>subtheme</i> | (71)     | 168     | 74           | (44.05) | 74        | (44.05) |
|          | <i>topic</i>    | (415)    | 1111    | 519          | (46.71) | 519       | (46.71) |
|          | <i>session</i>  | (650)    | 2191    | 973          | (44.41) | 988       | (45.09) |
| 10022    | <i>theme</i>    | (7)      | 16      | 3            | (18.75) | 3         | (18.75) |
|          | <i>subtheme</i> | (71)     | 168     | 72           | (42.86) | 72        | (42.86) |
|          | <i>topic</i>    | (415)    | 1111    | 343          | (30.87) | 343       | (30.87) |
|          | <i>session</i>  | (650)    | 2191    | 587          | (26.79) | 587       | (26.79) |
| 3560     | <i>theme</i>    | (7)      | 16      | 3            | (18.75) | 3         | (18.75) |
|          | <i>subtheme</i> | (71)     | 168     | 72           | (42.86) | 72        | (42.86) |
|          | <i>topic</i>    | (415)    | 1111    | 342          | (30.78) | 342       | (30.78) |
|          | <i>session</i>  | (650)    | 2191    | 590          | (26.93) | 590       | (26.93) |

Table 4

Identification of topics in the original categories: A list of 10 session titles together with the top 10 *LE*-ranked terms from the original categories' documents, in the 3006 Term Space.

| Session title                       | Top 10 terms ( <i>LE</i> ranking)   |
|-------------------------------------|---|
| <i>Cognitive Aging: Other</i>       | <b>age</b> adult older <b>cognitive</b> processes functional regions participated decline young         |
| <i>Entrainment and Phase Shifts</i> | light SCN <b>phase</b> circadian <b>entrainment</b> clock rhythms <b>shift</b> cycling Dark             |
| <i>Eye Movements: Saccades</i>      | <b>saccadic eye</b> monkey stimulus fixating visual <b>movements</b> error direct anti                  |
| <i>Inflammatory Pain II</i>         | <b>pain</b> rats injecting <b>inflammatory</b> behavioral CFA models inflammation receptors nociception |
| <i>Language I</i>                   | processes area left semantic word <b>language</b> temporal speech stimuli regions                       |
| <i>Parkinson's Disease: Other I</i> | proteins PD <b>disease Parkinson</b> kinase mutation functional DA gene stress                          |
| <i>Retina I</i>                     | <b>retinal</b> light photoreceptors functional visual recordings mice bipolar rods proteins             |
| <i>Retina II</i>                    | <b>retinal</b> ganglion receptors functional RGCs light pathway ON Layer visual                         |
| <i>Sexual Differentiation</i>       | sex brain <b>sexual</b> receptors behavioral rats <b>differential</b> hormone area dimorphic            |
| <i>Taste</i>                        | <b>taste</b> rats receptors stimuli recordings sucrose nucleus stimulation processes information        |

365 very general concepts usually not mentioned in the  
366 specialized papers abstracts.

### 367 3.4. Clustering experiments

368 The primary rationale for clustering the abstracts  
369 is to build the different thematic categories in an  
370 automatic manner. For this reason, and to allow a  
371 comparison with the original categories, the doc-  
372 uments were clustered into  $k$  clusters, successively  
373 with  $k = 7, 71, 415$  and 650. The clustering algo-  
374 rithm used in this purpose is the *repeated bisecting*  
375 *k-means* as it was reported to perform well on docu-  
376 ments (Steinbach et al., 2000) (Naud et al., 2007b).  
377 The `vcluster` function (with default parameters  
378 '`rb`') from CLUTO clustering package (Karypis et  
379 al., 2003) was used to perform the calculations of re-  
380 peated bisecting k-means. Table 6 presents the num-  
381 bers of retrieved terms of the categories titles among  
382 the terms from the clustered documents. The first  
383 column specifies the Term Space in which documents  
384 were clustered and from which terms were selected  
385 to describe the clusters' topics, in order to enable a

386 fair comparison of the two Term Spaces. From the  
387 results presented in Tables 3 and 6, the following  
388 observations are made: 1) The "title retrieval" per-  
389 formances of clusters are generally lower than using  
390 the original categories, which is not surprising con-  
391 sidering that human experts shaping the categories  
392 had more knowledge about neuroscience than is cap-  
393 tured by the abstracts, but k-means still performed  
394 relatively well with an average rate of 31.0% against  
395 37.1% for the original categories in the same two  
396 Term Spaces. 2) The Term Space based on abstracts  
397 lead to better results than based on the keywords,  
398 which confirms the result expressed in Section 3.3  
399 that keywords are unlikely to appear in titles of cat-  
400 egories.

### 401 3.5. Identification of topics for the clusters

402 Once the documents clustered, we proceeded in a  
403 similar manner as in section 3.2 in order to identify  
404 the topics covered by the documents in the found  
405 clusters. We selected again the top 10 terms among  
406 the cluster's documents according to *LE* ranking in

Table 5

15 *subtheme* titles with the top 10 *LE*-ranked keywords selected in the 10022 Term Space. Boldface keywords matched one title word after stop word removal and stemming. Italic titles were entirely retrieved.

| <i>subtheme</i> title                         | Top 10 keywords ( <i>LE</i> ranking)  |
|---|---|
| Biological Rhythms and Sleep                  | ' <b>sleep</b> ' 'circadian rhythm' 'circadian' 'suprachiasmatic nucleus' 'eeg' 'sleep deprivation' 'electrophysiology' 'entrainment' 'hypocretin' 'orexin'                     |
| Brain Blood Flow, Metabolism, and Homeostasis | 'blood brain barrier' 'cerebral blood flow' ' <b>metabolism</b> ' 'optical imaging' 'permeability' 'vascular' 'blood flow' 'energy metabolism' 'hippocampus' 'barrel'           |
| Chemical Senses                               | 'olfaction' 'olfactory bulb' 'electrophysiology' 'glomerulus' 'oscillation' 'coding' 'gustatory' 'taste' 'brainstem' 'odor'   |
| Data Analysis and Statistics                  | 'brain imaging' 'fmri' 'human' 'modeling' 'cerebral cortex' 'functional mri' 'behavior' 'eeg' 'electrophysiology' 'erp'   |
| Demyelinating Disorders                       | 'multiple sclerosis' ' <b>demyelination</b> ' 'oligodendrocyte' 'inflammation' 'myelin' 'animal model' 'microglia' 'cytokine' 'eae' 'growth factor'                             |
| <i>Ton Channels</i>                           | 'potassium channel' 'calcium channel' ' <b>ion channel</b> ' 'sodium channel' 'hippocampus' 'patch clamp' 'excitability' 'pain' 'electrophysiology' 'calcium'                   |
| Ligand Gated Ion Channels                     | 'glutamate receptor' 'nicotinic receptor' 'patch clamp' 'electrophysiology' 'ion channel' 'hippocampus' 'nmda receptor' 'gaba receptor' 'glutamate' 'trafficking'               |
| Network Interactions                          | 'hippocampus' ' <b>network</b> ' 'synchrony' 'oscillation' 'interneuron' 'rat' 'synchronization' 'cortex' 'epilepsy' 'modeling'   |
| Neurogenesis and Gliogenesis                  | ' <b>neurogenesis</b> ' 'neural stem cell' 'development' 'differentiation' 'hippocampus' 'proliferation' 'stem cell' 'brdu' 'migration' 'cell cycle'                            |
| <i>Neurotransmitter Release</i>               | 'synaptic vesicle' 'exocytosis' 'synaptic transmission' 'presynaptic' 'endocytosis' 'hippocampal neuron' 'calcium' 'drosophila' 'gabaergic' ' <b>neurotransmitter release</b> ' |
| Pattern Generation and Locomotion             | ' <b>locomotion</b> ' 'central pattern generator' 'spinal cord' 'cpg' 'serotonin' 'motor control' 'human' 'rhythm' 'invertebrate' 'neuromodulation'                             |
| Physiological Methods                         | 'electrophysiology' 'eeg' 'behavior' 'patch clamp' 'in vitro' 'in vivo' 'ischemia' 'parkinson's disease' 'stroke' 'voltage clamp'   |
| <i>Synaptic Transmission</i>                  | ' <b>synaptic transmission</b> ' 'synapse' 'hippocampus' 'presynaptic' 'gaba' 'glutamate' 'dendrite' 'interneuron' 'neurotransmitter release' 'exocytosis'                      |
| <i>Tactile/Somatosensory</i>                  | 'somatosensory cortex' ' <b>tactile</b> ' 'barrel' ' <b>somatosensory</b> ' 'vibrissa' 'whisker' 'cortex' 'rat' 'thalamocortical' 'sensorimotor'                                |
| Visuomotor Processing                         | 'motor control' 'sensorimotor' 'reaching' 'eye movement' 'saccade' 'parietal cortex' 'vision' 'visual perception' 'motor learning' 'spatial memory'                             |

Table 6

Numbers of retrieved terms of the categories titles among the top 10 terms in *LE* ranking from the clustered documents. The percentages are ratios of numbers of found terms over the numbers of terms existing in titles of the assigned categories to the clusters.

| <i>M</i> | <i>k</i> | title terms ( <i>LE</i> ranking) |              |         |
|----------|----------|----------------------------------|--------------|---------|
|          |          | <i>existing</i>                  | <i>found</i> | (%)     |
| 3006     | 7        | 16                               | 2            | (12.50) |
|          | 71       | 184                              | 46           | (25.00) |
|          | 415      | 1051                             | 362          | (34.44) |
|          | 650      | 2186                             | 679          | (31.06) |
| 3560     | 7        | 17                               | 2            | (11.76) |
|          | 71       | 194                              | 53           | (27.32) |
|          | 415      | 1111                             | 188          | (16.92) |
|          | 650      | 2203                             | 312          | (14.16) |

Table 7

Top 10 terms identifying the topics of 10 clusters obtained from repeated bisecting k-means, among the 66 titles entirely retrieved (out of the 415 *topic* titles) in the 3006 Term Space.

| Assigned title                          | Top 10 terms ( <i>LE</i> ranking)   |
|---|---|
| <i>Maternal behavior</i>                | <b>maternal behavioral</b> pups rats care offspring lactate mothers mice receptors          |
| <i>Opioid receptors</i>                 | morphine <b>opioid receptors</b> tolerance rats mice analgesia injecting analgesic dose     |
| <i>Motor unit</i>                       | muscle contract Forced <b>motor</b> isometric voluntary <b>unit</b> EMG rate variables      |
| <i>Aggression</i>                       | <b>aggression</b> behavioral social mice Intruder receptors brain models rats Resident      |
| <i>Alcohol</i>                          | ethanol rats <b>alcohol</b> intake consumption receptors drinking behavioral water dose     |
| <i>Metabotropic glutamate receptors</i> | mGluRs <b>receptors glutamate metabotropic</b> III rats synaptic mGluR5 synapse regulation  |
| <i>Reward</i>                           | NAc rats accumbens nucleus behavioral DA <b>reward</b> drugs dopamine shell                 |
| <i>Cocaine</i>                          | <b>cocaine</b> drugs exposure rats receptors brain behavioral abstinence withdrawal regions |
| <i>Transplantation</i>                  | grafting rats <b>transplants</b> axonal regenerate cord nerves Survival spinal injury       |
| <i>Parkinson's disease Models</i>       | MPTP mice <b>Parkinson disease models</b> PD DA dopamine dopaminergic striatal              |

407 two Term Spaces. Finally, each cluster was assigned 409 lected terms against the category's title. In a cluster-  
408 to one original category, in order to check the se- 410 tering of the documents into  $k = 7$  clusters (respec-

tively  $k = 71, 415, 650$ ), each cluster was assigned to the *dominant category* among the 7 themes (resp.  $k = 71$  subthemes, 415 topics, 650 sessions) as follows: The original categories of all the documents in a cluster were counted (making a histogram of the categories), then the cluster was assigned to the category for which the number of documents was the largest. The top 10 terms according to the *LE* ranking were selected in the 3006 and 3560 Term Spaces. As an illustration, a list of 10 *topic* titles for which all the terms were retrieved in the top 10 terms of their assigned clusters (obtained from repeated bisecting k-means with  $k = 415$ ) is presented in Table 7. Boldface terms matched, after stemming, one word from the assigned category title.

#### 4. Conclusions

An exploratory analysis of a collection of posters presented at SfN Annual Meeting in 2006 has been performed using the 3D-SE viewer Java applet and multidimensional scaling. The original thematic categories are displayed in distinct areas. Several Term Spaces based on posters abstracts and titles, and on free keywords were constructed and used successfully (to some extent) to retrieve the titles of original categories defined by human experts. Term Spaces based on abstracts performed better in this task than those based on free keywords. A clustering of the abstracts using repeated bisecting k-means was performed, followed by an identification of the topics covered by the documents of the resulting clusters. Each cluster was assigned to one of the original thematic categories by choosing the category with the majority of documents, and was evaluated in terms of its capacity to retrieve its assigned category title. The achieved performance is satisfying as compared to the retrieval rates for original categories. We believe that these results can be further improved: 1) by applying more elaborate methods for the selection of relevant terms, in particular by extracting  $N$ -grams ( $N = 2, 3$ ) from abstracts, 2) by reducing further the Term Space dimensionality using e.g. Latent Semantic Analysis (Deerwester et al., 1990). Using both the terms extracted from posters abstracts and the free keywords together in one Term Space should also improve performance. K-means algorithm assumes that the clusters are spherical and of similar densities, which might be untrue in the case of documents. Other clustering techniques, among others based on Nonnegative Matrix Factor-

ization, may be also evaluated and compared to the approach adopted in the present research.

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